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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/916,458	07/27/2001	Sandra Gail Biedron	ANL-IN-01-051

JOAN PENNINGTON
ATTORNEY AT LAW
Unit 1804
535 North Michigan Avenue
Chicago, IL 60611



CONFIRMATION NO. 8738

FORMALITIES LETTER



OC000000006508253

Date Mailed: 09/04/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/21/2001 HVUONG1 00000046 501048 09916458

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PATENT



UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sandra Gail Biedron et al.

Application: MODULAR APPROACH TO THE NEXT GENERATION, SHORT
WAVELENGTH, LASER-LIKE LIGHT SOURCES

Serial No.: 09/916,458

Filing Date: July 27, 2001

Art Unit: 2881

Examiner: Not yet assigned

Case: ANL-IN-01-051

CERTIFICATE OF MAILING: I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed for Commissioner of Patents and Trademarks, Washington, D.C. 20231, on

Sept. 14, 2001 Joan Pennington
Date of Deposit Signature

Joan Pennington

Typed/printed name of person signing

535 North Michigan Avenue
Unit 1804
Chicago, Illinois 60611

Honorable Commissioner Of
Patents And Trademarks
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:


In response to the Notice to File Missing Parts mailed September 4, 2001 (copy enclosed), enclosed is a Declaration with Power of Attorney signed by the inventors Sandra Gail Biedron, Henry Philip Freund and Stephen Val Milton. Also enclosed is an Assignment to THE UNIVERSITY OF CHICAGO. The Assignee THE UNIVERSITY OF CHICAGO is entitled to and claims Small Entity Status.

Serial No. 09/916,458

Please charge the amount of \$105.00 for the surcharge for late filing fee of the declaration of \$65.00 (37 CFR 1.16(e)) and the assignment recordal fee of \$40.00.

The Commissioner of Patents and Trademarks is hereby authorized to charge any deficiency or credit any overpayment in the above fees to the deposit account No. 50-1048 of the undersigned. A duplicate copy of this letter is enclosed.

Respectfully submitted,

By: 
Joan Pennington
Reg. No. 30,885
Telephone: (312) 670-0736



Receipt \$ #4

PATENT

UNITED STATES PATENT AND TRADEMARK OFFICE

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September 14, 2001 *Joan Pennington*

Joan Pennington

Date of Deposit

Signature

Typed/printed name of person signing

535 North Michigan Avenue
Unit 1804
Chicago, Illinois 60611

Honorable Commissioner Of
Patents And Trademarks
Washington, D.C. 20231

Attention: Initial Patent Application Processing Division's
Customer Service Center

REQUEST FOR CORRECTION OF OFFICIAL FILING RECEIPT


Sir:

Correction of the Official Filing Receipt as marked on the enclosed copy of
the Official Filing Receipt is respectfully requested.

Serial No. 09/916,458

The Official Filing Receipt has an error in the address for the third inventor Stephen Val Milton. The correct address is Naperville, IL. It is respectfully requested that the filing date be corrected and that a corrected Official Filing Receipt be issued.

Respectfully submitted,

By: 
Joan Pennington
Reg. No. 30,885
Telephone: (312) 670-0736



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/916,458	07/27/2001	2881	391	ANL-IN-01-051	6	24	2

JOAN PENNINGTON
ATTORNEY AT LAW
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Chicago, IL 60611



CONFIRMATION NO. 8738

FILING RECEIPT



OC000000006508252

Date Mailed: 09/04/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Sandra Gail Biedron, Palos Park, IL;
Henry Philip Freund, Vienna, VA;
Stephen Val Milton, Palos Park, IL;

NAPERVILLE

Assignment For Published Patent Application

THE UNIVERSITY OF CHICAGO;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 09/01/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title